



**U.S. FOOD & DRUG**  
**ADMINISTRATION**

U.S. Food and Drug Administration

Center for Veterinary Medicine (CVM) Export Certification  
Application and Tracking System (CVM eCATS)

Step-by-Step Instructions for Industry Applicants

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## 1 Abbreviations

ANADA	Abbreviated New Animal Drug Application
CNADA	Conditional New Animal Drug Applications
CVM	Center for Veterinary Medicine
eCATS	Export Certification Application and Tracking System
FDA	U.S. Food and Drug Administration
FEI	FDA Establishment Identification (number)
FGO	Foreign Government Official
FIS	FDA Industry Systems
FURLS	FDA's Unified Registration and Listing System
NADA	New Animal Drug Application
NDC	National Drug Code
OAA	Online Account Administration

## 2 Standardized Icons

Standardized icons are used throughout the system. Each icon performs a specific system function. The icon description and system function are described below:

Icon Description	Icon	System Function
Eye		View the associated item.
Pencil		Edit the associated item.
"X"		Cancel or Delete the associated item.
Document		Associate label or document to Certificate
Printer		Print the associated item.

### **3 Introduction**

This document is intended for use by industry users of the Center for Veterinary Medicine (CVM) Export Certification Application and Tracking System (CVM eCATS).

This document provides instructions on:

- Creating an account in the FIS;
- Filling out an application;
- Saving an application;
- Submitting an application;
- Viewing an application;
- Canceling an application;
- Cloning (Copying) an application;
- Responding to a returned application;
- Printing or obtaining the certificates;
- Reviewing system notifications;
- Validating the authenticity of CVM-Issued Export Certificates (by FGO).

### **4 Overview of CVM eCATS.**

The FDA Center for Veterinary Medicine (CVM) Export Certification Application and Tracking System (CVM eCATS) facilitates the submission of the following CVM certificate types:

- Current Good Manufacturing Practice (CGMP) certificate;
- Certificate to Foreign Government (CFG);
- Certificate of Free Sale (COFS);
- Certificate of Exportability (COE);
- Certificate of a Pharmaceutical Product (CPP).

#### **FDA Industry Systems (FIS)**

The FIS is an electronic portal which facilitates submissions to the FDA. It includes registration, listing, export certification, and other online submissions. The FIS is available 24 hours a day, seven days a week. It provides general entry to a series of systems which enable electronic submissions to the Food and Drug Administration (FDA).

#### **FDA's Unified Registration and Listing System (FURLS)**

The FURLS is a specific component of FIS. Persons with an account ID and password for the FIS electronic portal can use FURLS to submit information to the Agency. The

FURLS system described in this document is for submissions of export certification applications to the Center for Veterinary Medicine.

### **Supported Browsers**

The FURLS can be accessed using Firefox, Chrome, or Internet Explorer. Please visit the “Systems Requirements” section of the FURLS page for a list of approved browsers and browser versions. The “Systems Requirements” section can be found by navigating to <https://www.access.fda.gov/>.

## 5 Applying for Login Credentials using FIS

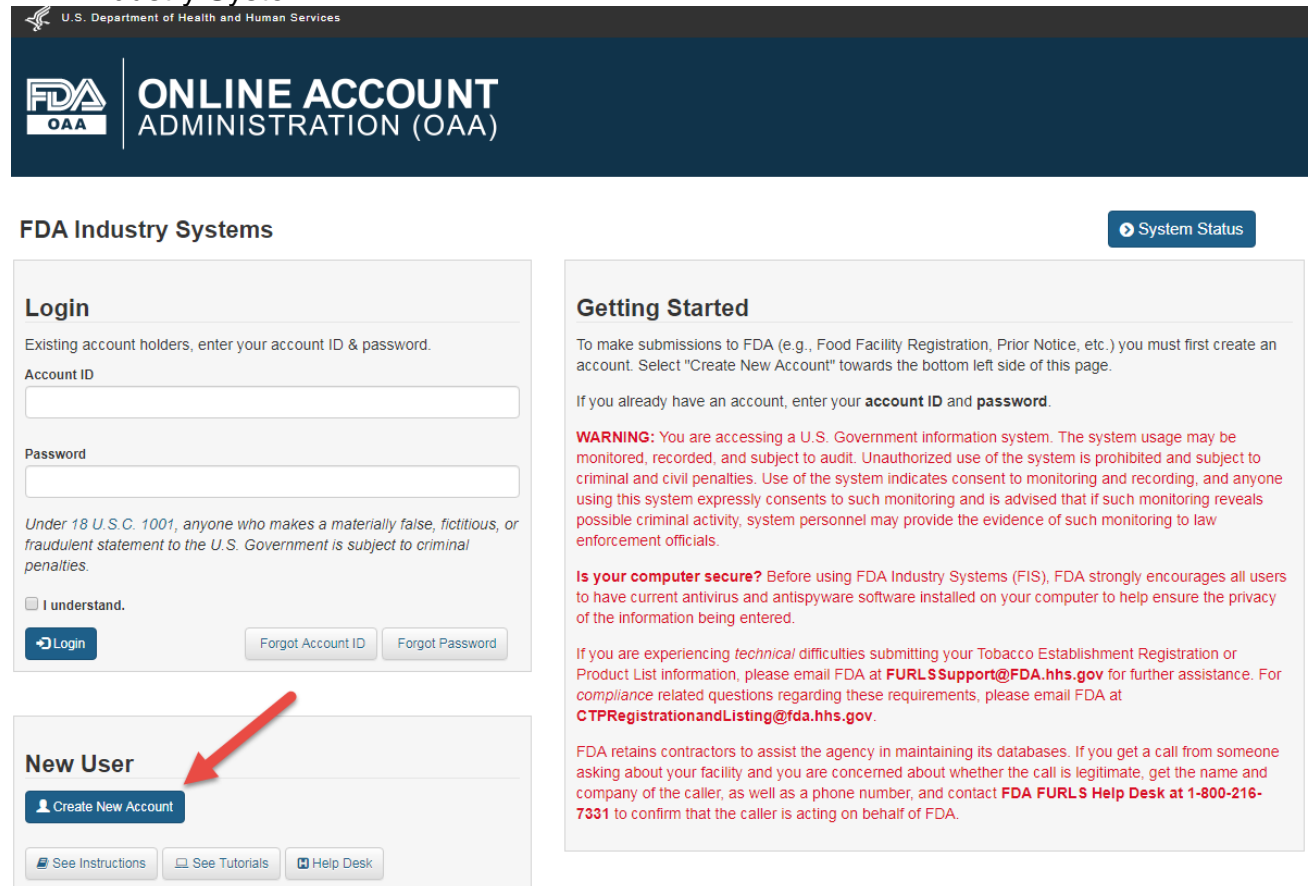
All users must obtain an account through the FDA Industry Systems (FIS) Electronic Portal. From this portal you will receive a personal account ID and password to use with your submissions.

### Step 1: Access FIS Electronic Portal.

To access the FIS electronic portal, go to <https://www.access.fda.gov/>. Click the **Create New Account** button as shown in the following figure.

Figure 5.1: Create New Account in FDA FIS Electronic Portal

### FDA Industry System



U.S. Department of Health and Human Services

**FDA** ONLINE ACCOUNT ADMINISTRATION (OAA)

FDA Industry Systems System Status

#### Login

Existing account holders, enter your account ID & password.

Account ID

Password

*Under 18 U.S.C. 1001, anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties.*

I understand.

[Login](#) [Forgot Account ID](#) [Forgot Password](#)

#### New User

[Create New Account](#)

[See Instructions](#) [See Tutorials](#) [Help Desk](#)

#### Getting Started

To make submissions to FDA (e.g., Food Facility Registration, Prior Notice, etc.) you must first create an account. Select "Create New Account" towards the bottom left side of this page.

If you already have an account, enter your **account ID** and **password**.

**WARNING:** You are accessing a U.S. Government information system. The system usage may be monitored, recorded, and subject to audit. Unauthorized use of the system is prohibited and subject to criminal and civil penalties. Use of the system indicates consent to monitoring and recording, and anyone using this system expressly consents to such monitoring and is advised that if such monitoring reveals possible criminal activity, system personnel may provide the evidence of such monitoring to law enforcement officials.

**Is your computer secure?** Before using FDA Industry Systems (FIS), FDA strongly encourages all users to have current antivirus and antispyware software installed on your computer to help ensure the privacy of the information being entered.

If you are experiencing *technical* difficulties submitting your Tobacco Establishment Registration or Product List information, please email FDA at [FURLSSupport@FDA.hhs.gov](mailto:FURLSSupport@FDA.hhs.gov) for further assistance. For *compliance* related questions regarding these requirements, please email FDA at [CTPRegistrationandListing@fda.hhs.gov](mailto:CTPRegistrationandListing@fda.hhs.gov).

FDA retains contractors to assist the agency in maintaining its databases. If you get a call from someone asking about your facility and you are concerned about whether the call is legitimate, get the name and company of the caller, as well as a phone number, and contact **FDA FURLS Help Desk at 1-800-216-7331** to confirm that the caller is acting on behalf of FDA.

## Step 2: Select CVM Export Certification Application and Tracking System

Under the Export Certification and Tracking section, click the checkbox for “CVM Export Certification Application and Tracking System (CVM eCATS)” Then click the **Continue** button at the bottom of the screen.

**Figure 5.2: Click CVM eCATS Checkbox in FIS Electronic Portal**

### Registration and Listing Programs

Food

- Acidified/Low-Acid Canned Foods Registration and Process Filing
- Food Facility Registration
- Qualified Facility Attestation
- Export Listing Module
- Shell Egg Producer Registration

Medical Devices

- Device Registration and Listing Module

Tobacco Products

- Tobacco Registration and Listing System



### Export Certification and Tracking

- Biologics Export Certification Application and Tracking System (BECATS)
- CDER Export Certification Application and Tracking System (CDER eCATS)
- CDRH Export Certification Application and Tracking System (CECATS)
- CFSAN Export Certification Application and Tracking System (CFSAN eCATS)  
*Includes FDA-regulated food and cosmetics.*
- CVM Export Certification Application and Tracking System (CVM eCATS)

### Step 3: Fill out your contact information.

Fill out the contact information, including name, address, phone number, and email address. FURLS uses the email address for all communication purposes including notifications about your export certification application.

**Figure 5.3: Fill out Contact Information in FIS Electronic Portal**

Create New Account  

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Create New Account

Step 2: Enter Your Account Information

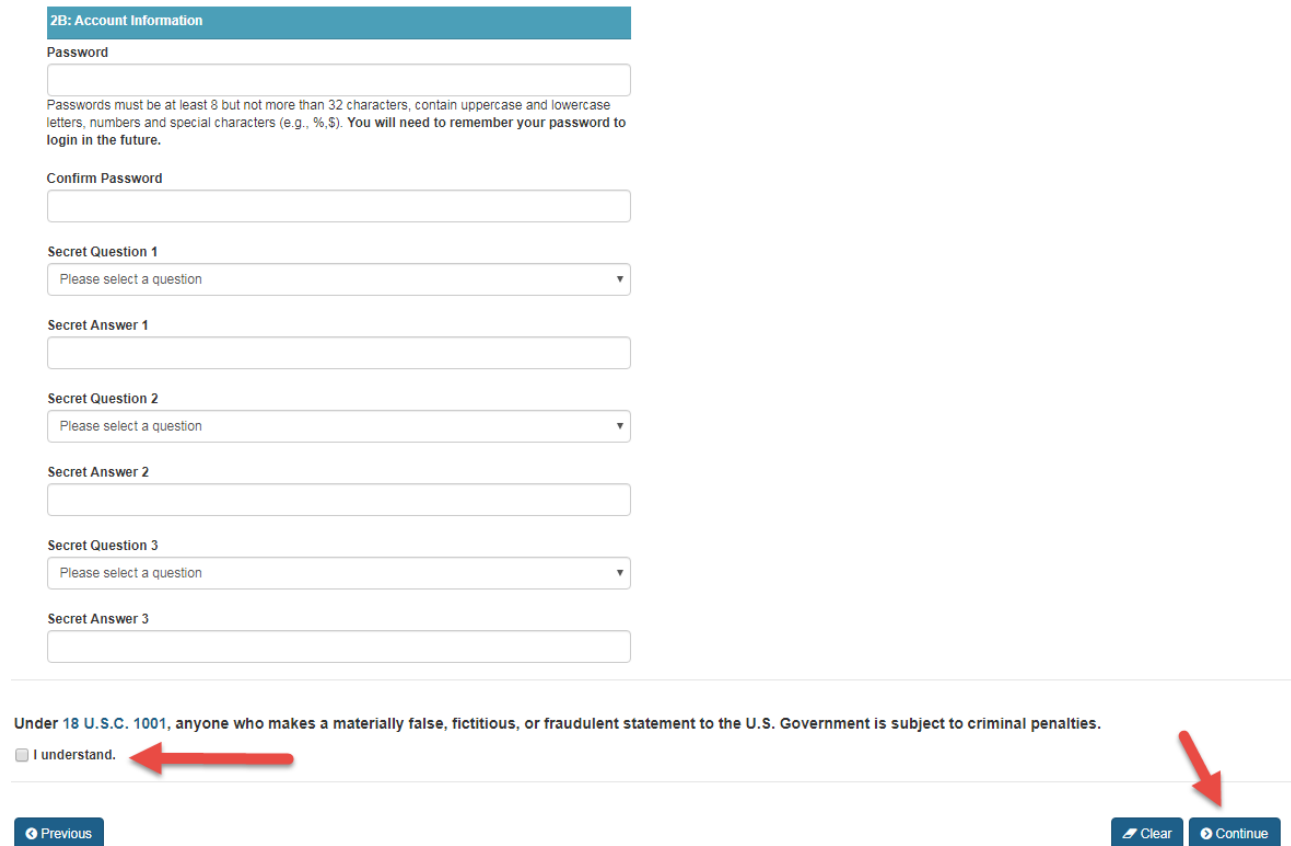
<div style="background-color: #0070c0; color: white; padding: 5px; margin-bottom: 10px;">2A: Point of Contact Information</div> <p><b>First Name</b> <input type="text"/></p> <p><b>Middle Initial (Optional)</b> <input type="text" value="Optional"/></p> <p><b>Last Name / Surname</b> <input type="text"/></p> <p><b>Job Title</b> <input type="text"/></p> <p><b>Company Name</b> <input type="text"/></p> <p><b>Web Address (Optional)</b> <input type="text"/> <small>(Example: <a href="http://www.name.domain">http://www.name.domain</a> or <a href="http://name.domain">http://name.domain</a>)</small></p> <p><b>Phone Number</b></p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 25%;">Country</td> <td style="width: 25%;">Area</td> <td style="width: 25%;">Telephone</td> <td style="width: 25%;">Ext</td> </tr> <tr> <td>Country</td> <td>Area</td> <td>Phone Number</td> <td>Extension</td> </tr> </table> <p><small>Phone/FAX numbers have only numbers with no spaces, dashes, periods or parentheses. Country code is not required for US phone numbers.</small></p> <p><b>FAX Number (Optional)</b></p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 25%;">Country</td> <td style="width: 25%;">Area</td> <td style="width: 50%;">Fax Number</td> </tr> <tr> <td>Country</td> <td>Area</td> <td>Fax Number</td> </tr> </table> <p><b>E-mail Address</b> <input type="text"/></p> <p><b>Confirm E-mail Address</b> <input type="text"/></p>	Country	Area	Telephone	Ext	Country	Area	Phone Number	Extension	Country	Area	Fax Number	Country	Area	Fax Number	<div style="background-color: #0070c0; color: white; padding: 5px; margin-bottom: 10px;">2C: Physical Address (Business) of Account Holder</div> <p><b>Country / Area</b> <input type="text" value="Please Select Country"/></p> <p><b>Address Line 1</b> <input type="text"/></p> <p><b>Address Line 2 (Optional)</b> <input type="text" value="Optional"/></p> <p><b>City</b> <input type="text"/></p> <p><b>State / Province / Territory</b> <input type="text" value="Please Select"/></p> <p><b>Zip Code (Postal Code)</b> <input type="text"/></p> <p><b>Do you have preferred mailing address other than the physical address mentioned above?</b>  <input type="radio"/> Yes   <input type="radio"/> No         </p>
Country	Area	Telephone	Ext												
Country	Area	Phone Number	Extension												
Country	Area	Fax Number													
Country	Area	Fax Number													



#### Step 4: Enter Security Information and Submit.

Then enter a password and secret questions, check the “I understand” checkbox after reading the statement, and click the **Continue** button at the bottom of the screen.

**Figure 5.4: Complete Contact Information in FIS Electronic Portal**



After you clicked the Continue button, the system asks you to review your contact information and then complete the submission by clicking on the **Submit** button. If you need to modify your information, you may click the **Modify** button first. Upon submission, the system provides you with an account ID and password. You can then use this account to log on to the “Online Account Administration” (OAA) Home Page.

## 6 Submitting an Application for a Certificate

Applying for a certificate is a four-step process, followed by a formal attestation signoff and submission.

### Step 1: Access CVM eCATS

After you logged on to FURLS, click the “**CVM Export Certification Application and Tracking System (CVM eCATS)**” link.

**Figure 6.1: Click the CVM eCATS Link on FURLS Home Page**

**Export Certification and Tracking**


Biologics Export Certification Application and Tracking System (BECATS)

CDER Export Certification Application and Tracking System (CDER eCATS)

CDRH Export Certification Application and Tracking System (CECATS)

CFSAN Export Certification Application and Tracking System (CFSAN eCATS)

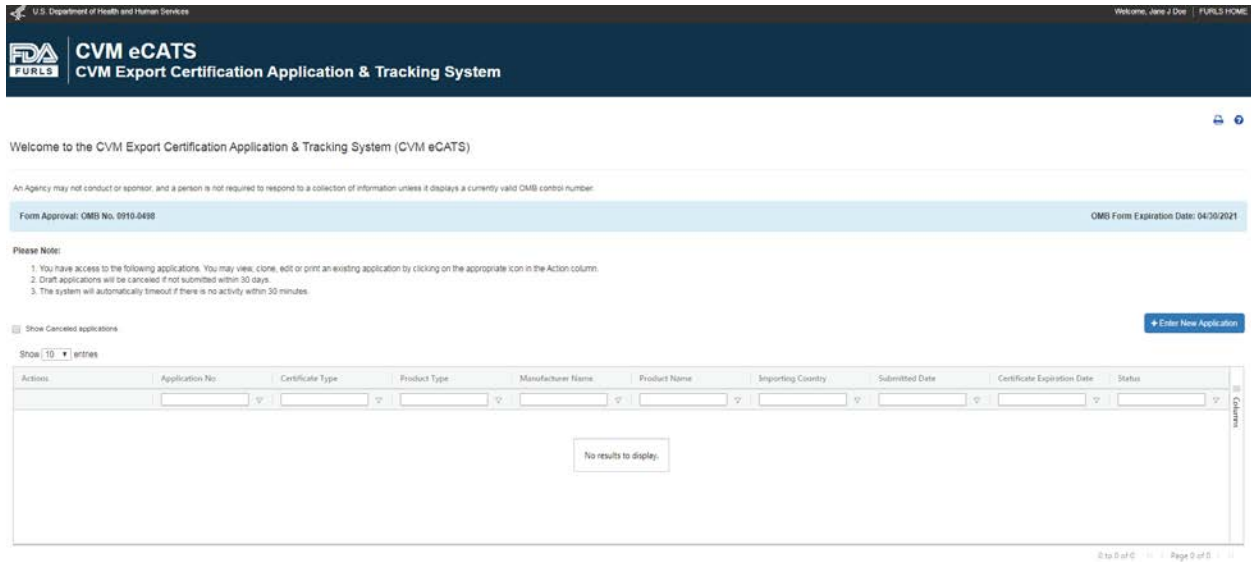
**CVM Export Certification Application and Tracking System (CVM eCATS)**



**Step 2: Review Dashboard contents.**

The FURLS displays the CVM eCATS Welcome page, also known as Dashboard, to show your existing application(s). If you have not created or submitted any electronic application, the Dashboard contains no data. The Dashboard is where you can view, edit, cancel or clone applications. It is also where you can update your application if CVM returned your application for follow-up action(s).

**Figure 6.2: Accessing the CVM eCATS User Dashboard**



The screenshot shows the CVM eCATS User Dashboard. At the top, there is a header with the FDA logo and the text "CVM eCATS CVM Export Certification Application & Tracking System". Below the header, there is a welcome message: "Welcome to the CVM Export Certification Application & Tracking System (CVM eCATS)".

Below the welcome message, there is a light blue box containing the following information:

- An Agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number.
- Form Approval: OMB No. 0919-0438
- OMB Form Expiration Date: 04/30/2021

Below this box, there is a "Please Note:" section with three bullet points:

- You have access to the following applications. You may view, clone, edit or print an existing application by clicking on the appropriate icon in the Action column.
- Draft applications will be canceled if not submitted within 30 days.
- The system will automatically timeout if there is no activity within 30 minutes.

Below the "Please Note:" section, there is a "Show Canceled applications" checkbox and a "Show 10 entries" dropdown menu. To the right of these elements is a blue button labeled "Enter New Application".

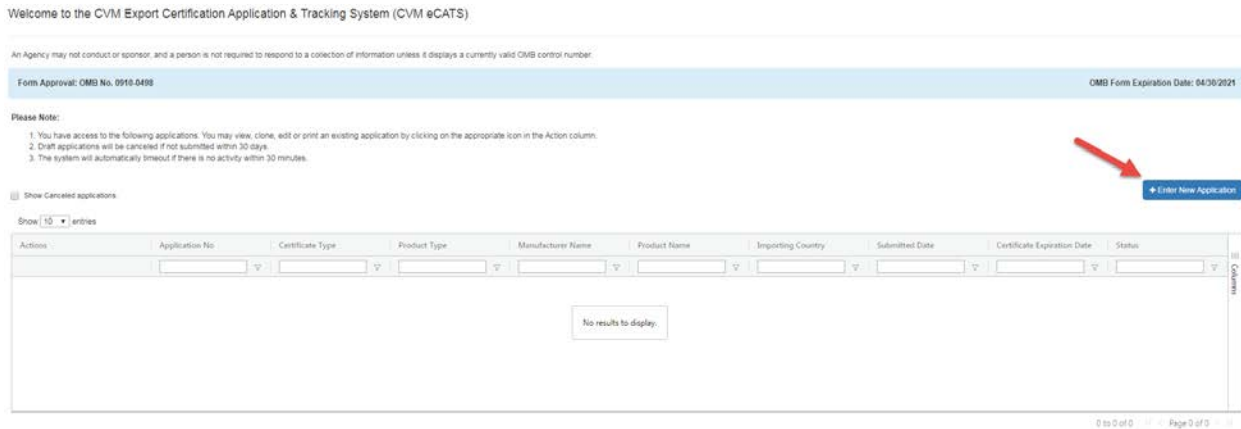
Below the "Show Canceled applications" section, there is a table with the following columns: Action, Application No., Certificate Type, Product Type, Manufacturer Name, Product Name, Importing Country, Submitted Date, Certificate Expiration Date, and Status. The table is currently empty, and a message "No results to display." is centered in the table area.

At the bottom right of the page, there is a footer that reads "0 to 0 of 0 Page 0 of 0".

### Step 3: Click Create New Application button.

To create a new application, click the **Create New Application** button.

**Figure 6.3: Click the Create New Application Button**



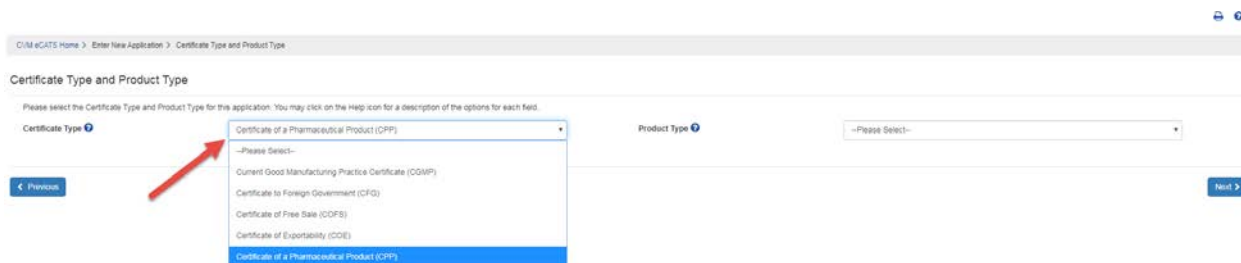
### Step 4: Select the Certificate Type.

The system displays the **Certificate Type and Product Type** section.

Select the Certificate Type for the application you're applying for. Certificate Type choices include:

- Current Good Manufacturing Practice (CGMP) certificate;
- Certificate to Foreign Government (CFG);
- Certificate of Free Sale (COFS);
- Certificate of Exportability (COE);
- Certificate of a Pharmaceutical Product (CPP).

**Figure 6.4: Select Certificate Type**

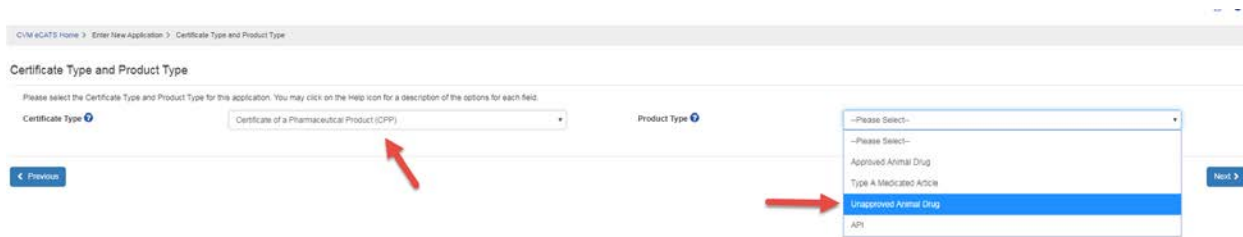


### Step 5: Select the Product Type.

Then select the Product Type. Product Type options vary for each certificate type. Then click **Next**.

**Note:** The CGMP certificate type does not have product types. Therefore, the system disables the Product Type field if you selected CGMP.

**Figure 6.5: Select Product Type**



### Step 6: Enter Requestor Information.

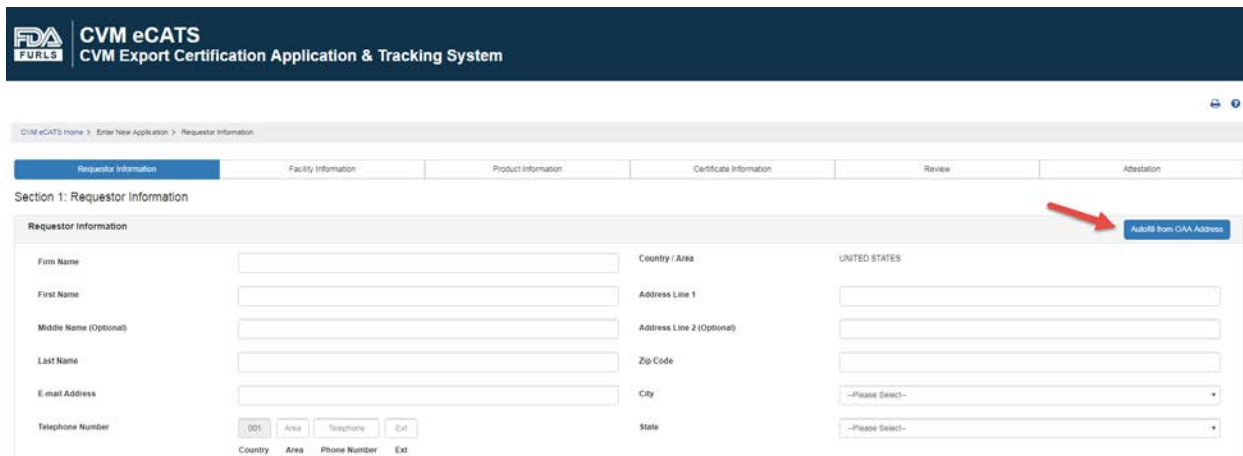
The system displays the **Requestor Information** section. From here you:

1. Enter the contact information of the Requestor;
2. Enter the contact information for Billing purpose;
3. Upload delivery labels (UPS/FedEx) for CPP certificate applications.

To begin, enter the Firm Name and contact information for the Requestor. If this information is the same as that of your FDA FIS account, you can select the **Autofill from OAA Address** button to auto-populate this information.

**Note:** The requestor address must be in the United States, with the exception of CGMP.

**Figure 6.6: Enter Requestor Address Information**



### Step 7: Enter Billing Information.

Enter the Firm Name and contact information for Billing. If the billing contact information is the same as that of the requestor contact information, select “Yes” to the following question: “Is the Billing Name and Address the same as the Requestor Name and Address?” Then enter your Firm Tax ID Code.

**Note 1:** The billing address must be in the United States.

**Note 2:** The CGMP certificate type does not have a Billing information section. For CGMP, you only need to fill in the Requestor information.

**Figure 6.7: Answer Question - Billing Address is Same as Requestor Address**



**Billing Information**

Is the Billing Name and Address the same as the Requestor Name and Address?  Yes  No

Firm Tax ID Code  -

If the billing contact information is not the same as the requestor contact information, select “No”. Enter the billing contact address information, and the Firm Tax ID Code.

**Figure 6.8: Answer Question - Billing Address is Not the Same as Requestor Address**



**Billing Information**

Is the Billing Name and Address the same as the Requestor Name and Address?  Yes  No

Firm Name  Address Line 1

First Name  Address Line 2 (Optional)

Middle Name (Optional)  Zip Code

Last Name  City  --Please Select--

E-mail Address  State  --Please Select--

Telephone Number  001  Area  Telephone  Ext

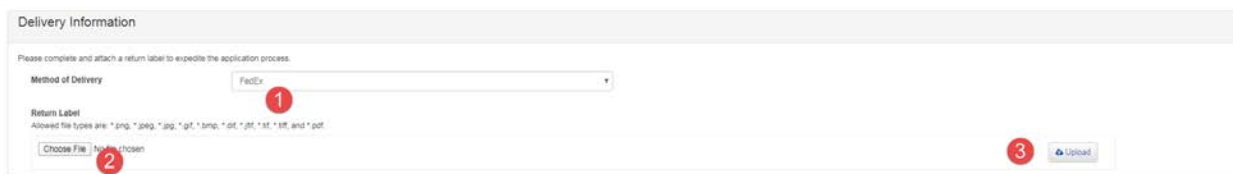
Firm Tax ID Code  Country  Area  Phone Number  Ext

### Step 8: For CPP Certificate, Upload a Delivery Label.

If you selected CPP as Certificate Type for the application, the system displays a section called “**Delivery Information**” for you to upload a FedEx or UPS mailing label for CVM to mail the certificate. You do this as follows:

1. Select the Method of Delivery (FedEx or UPS) choice
2. Click on the **Choose File** button and select a label file
3. Click on the **Upload** button to upload the label.

**Figure 6.9: Upload a FedEx or UPS Label – CPP Only**

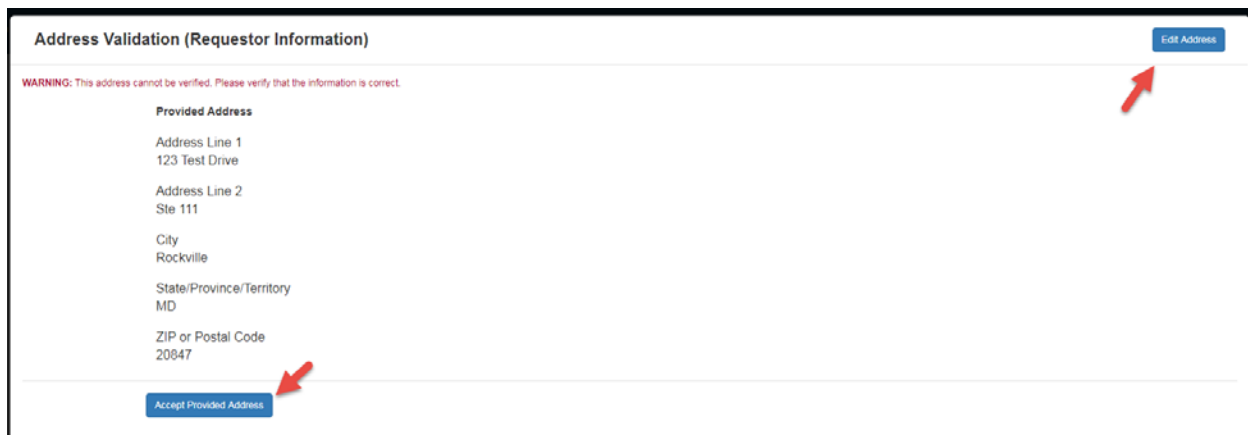


### Step 9: Review Address Validation.

When you are done with entering the Requestor, Billing, and Delivery (CPP) Information, click **Next**. The system validates the addresses that you have provided against the standard USPS addresses. If the address(es) is invalid, the system displays an error message. Otherwise, the system asks you to accept your provided requestor and billing addresses, or accept the system’s validated addresses. The system’s validated addresses may include minor changes to your provided address, such as the four-digit extension to the Zip Code. You always have the option of returning to the Requestor and Billing information to modify your address by clicking on the **Modify** button. You must click **Accept Provided Address** or **Accept Validated Address** to proceed to next step.

**Note:** Address validation is only applicable to U.S. addresses.

**Figure 6.10: Address Validation**

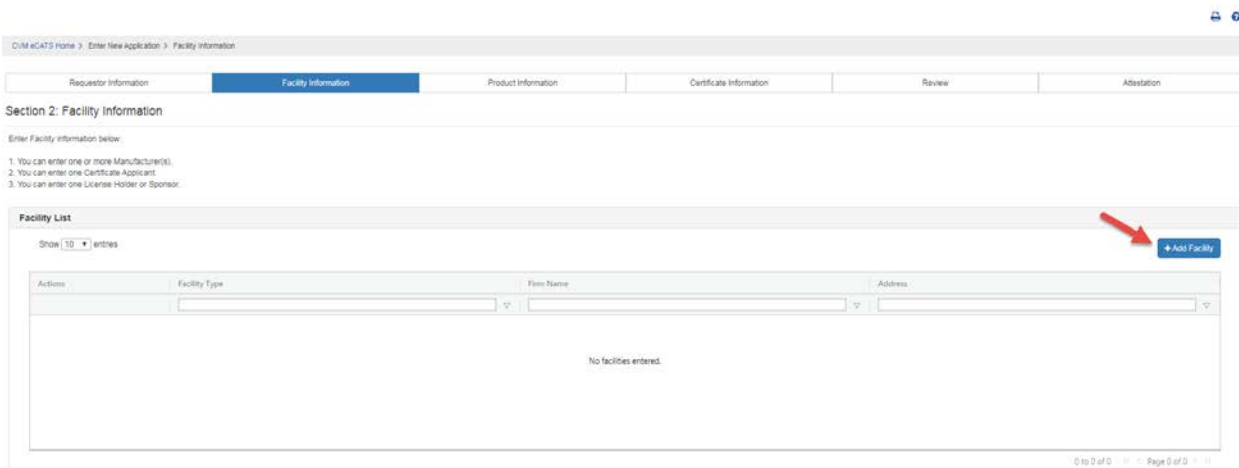


## Step 10: Access the Facility Information section.

After address validation, the system displays the **Facility Information** section. From here you:

1. Add a facility such as a manufacturer or distributor;
2. Edit or delete a facility.

**Figure 6.11: Access the Facility Information section**



## Step 11: Enter Facility Information.

To add a facility, click the **Add Facility** button.

You enter facility information in one of three ways:

1. Click **Autofill** button from the Requestor Information section. This automatically populates the fields with the same information from the Requestor Information section.
2. Enter the facility FEI (FDA Establishment Identification) number in the “Lookup Address Using FEI Number” field, and click on the **Search** button.
3. Manually enter the information, including Firm Name and address information.

**Note 1:** For Manufacturer and depending on the certificate type, you must either enter the FEI Number (by entering and using the Search button), or enter an address that the system can find the FEI number in the FDA databases.

**Note 2:** Distributor does not require an FEI Number association.

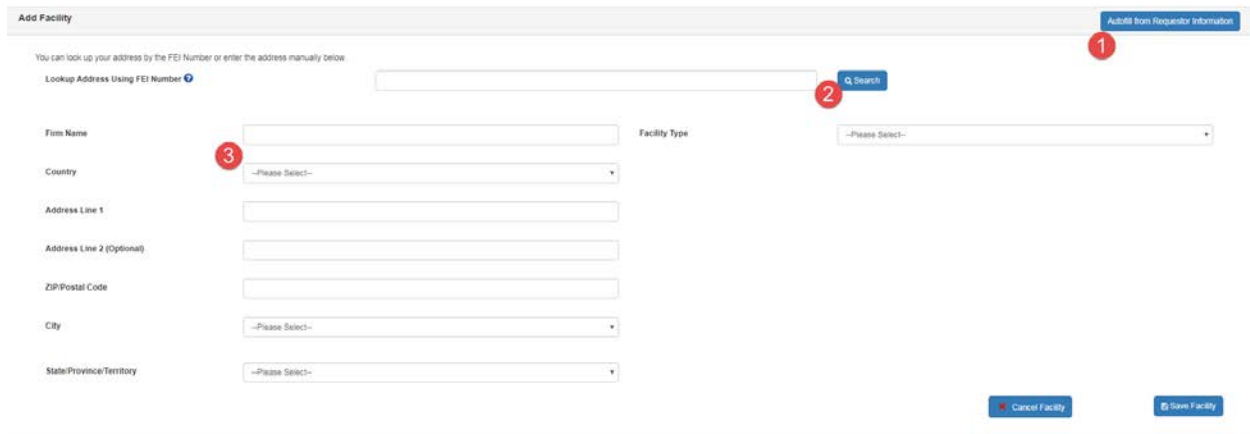
**Note 3:** You can also use the FDA FEI Search Portal at <https://www.accessdata.fda.gov/scripts/feiportal/index.cfm?action=portal.login> to locate the FEI number for the address you intended to use.

**Note 4:** If a foreign address (of a Distributor) does not have a zip/postal-code, enter NONE before you save the facility.

**Table 1 - FEI Number Requirements for Manufacturer**

Certificate Type	FEI Number required?
CGMP	Yes
CFG	Yes
COFS	No
COE	No
CPP	Yes

**Figure 6.12: Enter Facility Information**



The screenshot shows the 'Add Facility' form with the following fields and callouts:

- 1:** 'Submit from Requestor Information' button at the top right.
- 2:** 'Q Search' button next to the 'Lookup Address Using FEI Number' search box.
- 3:** 'Country' dropdown menu.

Other fields include: Firms Name, Facility Type (dropdown), Address Line 1, Address Line 2 (Optional), ZIP/Postal Code, City, and State/Province/Territory. 'Cancel Facility' and 'Save Facility' buttons are at the bottom right.

**Step 12: Select Facility Type.**

Select the Facility Type. The options available will differ, depending on the certificate type.



**Table 2 – Facility Limitations Number Requirements for Manufacturer**

Certificate Type	Facility Type Options	Number allowed	Required/ Optional	Exceptions / Notes
CGMP	Manufacturer	One	Required	
CFG	Manufacturer Distributor	<b>Multiple</b> One	Required Optional	Where the “Number allowed” is indicated as Multiple, you must enter at least one facility designated as manufacturer
COFS	Manufacturer Distributor	Multiple One	Required Optional	
COE	Manufacturer	Multiple	Required	
CPP	Manufacturer, Certificate Applicant License Holder or Sponsor*	Multiple One One	Required Required Required	*License Holder or Sponsor only applicable to Approved Animal Drug and Type A Medicated Article

**Figure 6.13: Select Facility Type**

Facility Type

--Please Select--

--Please Select--

Manufacturer

License Holder or Sponsor

Certificate Applicant

**Step 13: CPP-Only – Answer Certificate Applicant Address Question and select Statuses.**

If you’re applying for CPP certificate and you selected “Certificate Applicant” as the Facility Type, the system requires you to answer the question: “Is the Certificate Applicant name and address the same as the License Holder or Sponsor name and address?”

**Figure 6.14: Answer Certificate Applicant Question – CPP Only**

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Is the Certificate Applicant name and address the same as the License Holder or Sponsor name and address?  Yes  No

If you're applying for CPP certificate and you selected "Certificate Applicant" or "License Holder or Sponsor" as Facility Type, the system also requires you to specify the License Holder or Sponsor Status.

**Figure 6.15: Select Status for License Holder or Sponsor – CPP Only**

Facility Type

License Holder or Sponsor Status

- Please Select--
- Manufacturer
- Packager and/or Relabeler
- Neither

**Step 14: Save Facility.**

Click the **Save Facility** button to add the facility to the application. The system displays all facilities added onto the Facility List Table, from which you can either modify (or edit) or delete the facility entry, if necessary.

**Figure 6.16: Save Facility to Facility Table**

CVM eCATS Home > Enter New Application > Facility Information

Requestor Information | **Facility Information** | Product Information | Certificate Information | Review | Abatement

Section 2: Facility Information

Enter Facility information below:

- You can enter one or more Manufacturer(s).
- You can enter one Certificate Applicant.
- You can enter one License Holder or Sponsor.

**Facility List**

Show 10 entries + Add Facility

Actions	Facility Type	Facility Name	Address
	Manufacturer	Test Facility Name Data Inc.	Test Facility Street Address Line 1, Rockville, Maryland 20852 United States
	Certificate Applicant	Test Facility Name Data Inc.	Test Facility Street Address Line 1, Rockville, Maryland 20852 United States

1 to 2 of 2 | Page 3 of 5

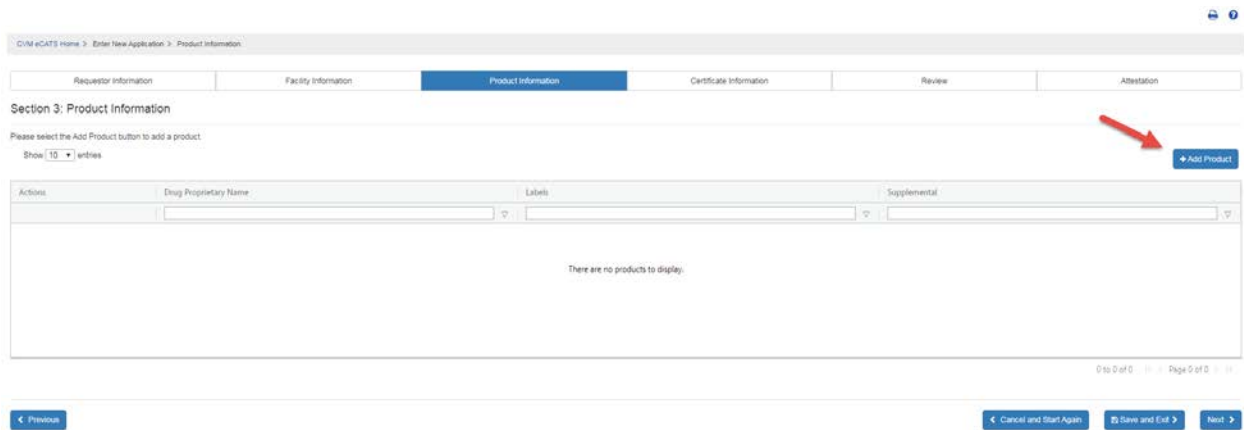
### Step 15: Enter Product Information.

When you are done with entering the facility, click **Next**.

The system displays the **Product Information** section. From here you:

1. Add a product;
2. Upload an English-language label;
3. Add optional supplemental documents.

**Figure 6.17: Access the Product Information Section**



### Step 16: Add a Product.

Click the **Add Product** button. Please note the product number limitations described in table below.

**Table 3 - Product Number Limitations**

Certificate Type	Number of Products Allowed	Exceptions
CGMP	Multiple	
CFG	One*	*Animal Food and Animal Device allow multiple products
COFS	One*	*Animal Food allows multiple products
COE	Multiple/One*	*Animal Food allows multiple products. *Unapproved Animal Drug limited to one product
CPP	One	

**Note:** In the case of Animal Devices only, “See Attached List of Products” may be entered for Product Trade Name and Proper Name and details of products provided as label/supplemental attachments.

**Figure 6.18: Add a Product**

[DIM eCATS Home](#) > [Enter New Application](#) > [Product Information](#)

[Requestor Information](#) | [Facility Information](#) | **Product Information** | [Certificate Information](#) | [Review](#) | [Abandon](#)

**Section 3: Product Information**

Please identify the Product(s) to be listed on the certificate.

**Information** Hide ^

Drug Proprietary Name 
 FDA Date of Approval

Drug Application Type 
 Drug Approval/License Number

FDA Product Listing Number (NDC)   
 Dosage Form

Drug Active Ingredients 
 Amount Per Unit Dose 
+ Add Drug Active Ingredients

Show  entries

Actions	Drug Active Ingredients	Amount Per Unit Dose
	<input type="text"/>	<input type="text"/>

No drug active ingredients added.

Is this product approved/licensed to be on the market for use in the United States?  Yes  No

Is this product currently on the market in the United States?  Yes  No

0 of 0 of 0 | Page 0 of 0

The **Information** fields shown on the above figure, vary depending on the certificate type and the product type. Refer to the table below for the list of data entry fields applicable to each certificate and product type combination:

**Table 4 – Applicable Product Fields**

<b>Certificate Type</b>	<b>Fields</b>
CGMP	Product Name
COE/Animal Food CFG/Animal Food/ Animal Device COFS/Animal Food	Product Trade Name Product Proper Name  Note: for Animal Devices only, you can enter “See Attached List of Products” on the Product Trade Name and Proper Name and attach the details of products as a label/supplemental attachments
CFG/Approved Animal Drug/ Type A Medicated Article/ Type B Medicated Feed/ Type C Medicated Feed/	Product Trade Name Product Proper Name Drug Application Type (NADA, ANADA, CNADA) Drug Approval License Number FDA Product Listing Number (NDC)
COFS/Approved Animal Drug/ Type A Medicated Article/ Type B Medicated Feed/ Type C Medicated Feed/	Product Trade Name Product Proper Name Drug Application Type (NADA, ANADA, CNADA) Drug Approval License Number FDA Product Listing Number (NDC)
COE/Unapproved Animal Drug/API	Product Name FDA Product Listing Number (NDC)
CPP/Approved Animal Drug/ Type A Medicated Article	Drug Proprietary Name FDA Date of Approval Drug Application Type (NADA, ANADA, CNADA) Drug Approval License Number FDA Product Listing Number (NDC) Dosage Form Drug Active Ingredients Amount per Unit Dose Question: Is this product approved/licensed to be on the market for use in the United States? Question: Is this product currently on the market in the United States?
CFG/Unapproved Animal Drug/ API/ COFS/Unapproved Animal Drug/ API	Product Trade Name Product Proper Name FDA Product Listing Number (NDC)
CPP/Unapproved Animal Drug/API	Drug Proprietary Name FDA Product Listing Number (NDC) Dosage Form Drug Active Ingredients Amount per Unit Dose Question: Is this product approved/licensed to be on the market for use in the United States? Question: Is this product currently on the market in the United States?

In addition, each field may be required, or optional, or not applicable, depending on the Certificate and Product Type combinations. In the below figure:

- O = Optional
- R = Required
- NA = Not Applicable

**Table 5 – Required Fields for Products**

Certificate Type	Product Type	Product Name	NDC Number	NADA/ANADA Number	Product Type
CGMP	NA	O	NA	NA	CGMP US applicant
	NA	O	NA	NA	CGMP International applicant
COE	Animal Food	R	NA	NA	COE Animal Food
	API	R	O	NA	COE API
	Unapproved Animal Drug	R	R	NA	COE Unapproved Animal Drug
CPP	Approved Animal Drug	R	R	R	CPP Approved
	Type A Medicated Article	R	R	R	CPP Type A
	API	R	O	NA	CPP API
	Unapproved Animal Drug	R	R	NA	CPP Unapproved
COFS	Animal Food	R	NA	NA	COFS Animal Food
	Approved Animal Drug	R	R	R	COFS Approved
	Type A Medicated Article	R	R	R	COFS Type A
	Type B Medicated Feed	R	O	R	COFS Type B
	Type C Medicated Feed	R	O	R	COFS Type C
	API	R	O	NA	COFS API
	Unapproved Animal Drug	R	R	NA	COFS Unapproved
CFG	Animal Food	R	NA	NA	CFG Animal Food
	Approved Animal Drug	R	R	R	CFG Approved
	Type A Medicated Article	R	R	R	CFG Type A
	Type B Medicated Feed	R	O	R	CFG Type B
	Type C Medicated Feed	R	O	R	CFG Type C
	API	R	O	NA	CFG API
	Unapproved Animal Drug	R	R	NA	CFG Unapproved
	Animal Device	R	NA	NA	CFG Animal Device

**Note:** For CPP certificate, you must answer two questions displayed at the bottom of the Information section.

- Is this product approved/licensed to be on the market for use in the United States? and,
- Is this product currently on the market in the United States?

For Approved Animal Drug and Type A Medicated Product, the first question above requires a Yes answer.

For Unapproved Animal Drug and API, the first question above requires a No answer.

### **Step 17: Add Labels and Supplemental Documents.**

Click the **Add file(s)** button to add a product label. Except for CGMP certificates, you must provide at least one product label in English for FDA review purpose. Navigate to your where you stored your label(s), select the label(s), and click the **Start Upload** button to add to the application.

Although optional, you can provide Supplemental documents as part of the application. You can upload supplemental documents by accessing the Supplemental Documents section, clicking on the **Add file(s)**, selecting the documents, and then clicking on **Start Upload**:

A small blue rectangular button with a white circular icon containing an upward-pointing arrow and the text 'Start upload' in white.

When you have finished adding labels and supplemental documents, click the **Save** button.



**Figure 6.19: Add Labels and Supplemental Documents**

**Label**

Please upload at least one product label in English for each product for review purposes, otherwise your application will not be approved. Valid file types are .jpg, .jpeg, .doc, .docx, .txt, .xls, .xlsx, .pdf, .gif, .png or .rtf. File size may not exceed 50 MB.

[+ Add file\(s\)](#)

---

**Supplemental Documents (Optional)**

Please upload supplemental documents, as needed. An example of a supplemental document is a label in a foreign language. Valid file types are .jpg, .jpeg, .doc, .docx, .txt, .xls, .xlsx, .pdf, .gif, .png or .rtf. File size may not exceed 50 MB.

[+ Add file\(s\)](#)

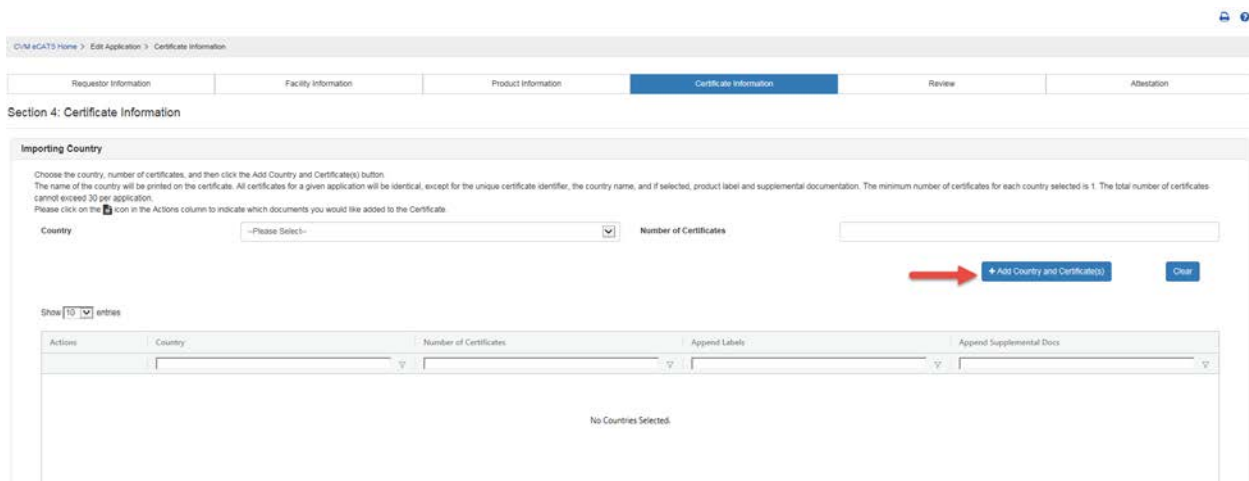
**Step 18: Enter Certificate Information.**

Click **Next** when the *Product Information* section is completed.

The system displays the **Certificate Information** section. From here you:

1. Select the importing country and the number of certificates you want;
2. Associate the labels and supplemental documents to each certificate (optional);
3. Add additional information to the certificate;
4. Review your fees.

**Figure 6.20: Access the Certificate Information Section**




CVN eCAT's Home > Edit Application > Certificate Information

Requestor Information | Facility Information | Product Information | **Certificate Information** | Review | Abatement

Section 4: Certificate Information

**Importing Country**

Choose the country, number of certificates, and then click the Add Country and Certificate(s) button. The name of the country will be printed on the certificate. All certificates for a given application will be identical, except for the unique certificate identifier, the country name, and if selected, product label and supplemental documentation. The minimum number of certificates for each country selected is 1. The total number of certificates cannot exceed 30 per application. Please click on the  icon in the Actions column to indicate which documents you would like added to the Certificate.

Country:  Number of Certificates:

[+ Add Country and Certificate\(s\)](#) [Clear](#)

Show  entries

Actions	Country	Number of Certificates	Appended Labels	Appended Supplemental Docs
No Countries Selected.				

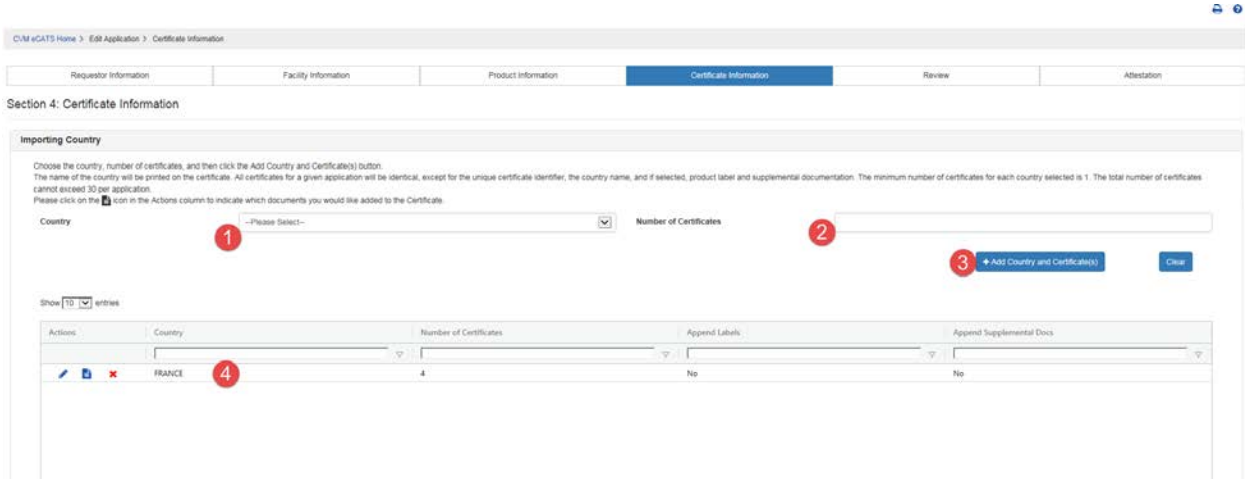
**Step 19: Add Importing Country and Certificates.**

Use the Country dropdown field to select a country. Then enter the number of certificates in the Number of Certificates field. Click the **Add Country and Certificates** button to add the country and number of certificates needed. The system adds the country and the number of certificates to show them in the table below.

You can enter multiple importing countries, and you can add up to 30 certificates total per application.

**Note:** A country on the U.S. Embargo List cannot be added to the application.

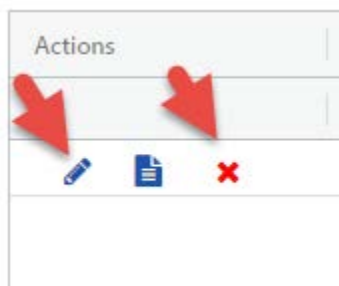
**Figure 6.21: Add a Country and Certificates**



### Step 20: Edit and Delete Country and Certificates

From the country and certificate table, you can change the number of certificates using the pencil icon if necessary. Or you can delete your entry using the delete icon.

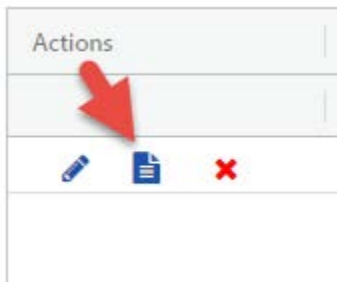
**Figure 6.22: Edit and Delete Country and Certificates**



### Step 21: Associate labels and supplemental documents to Certificates.

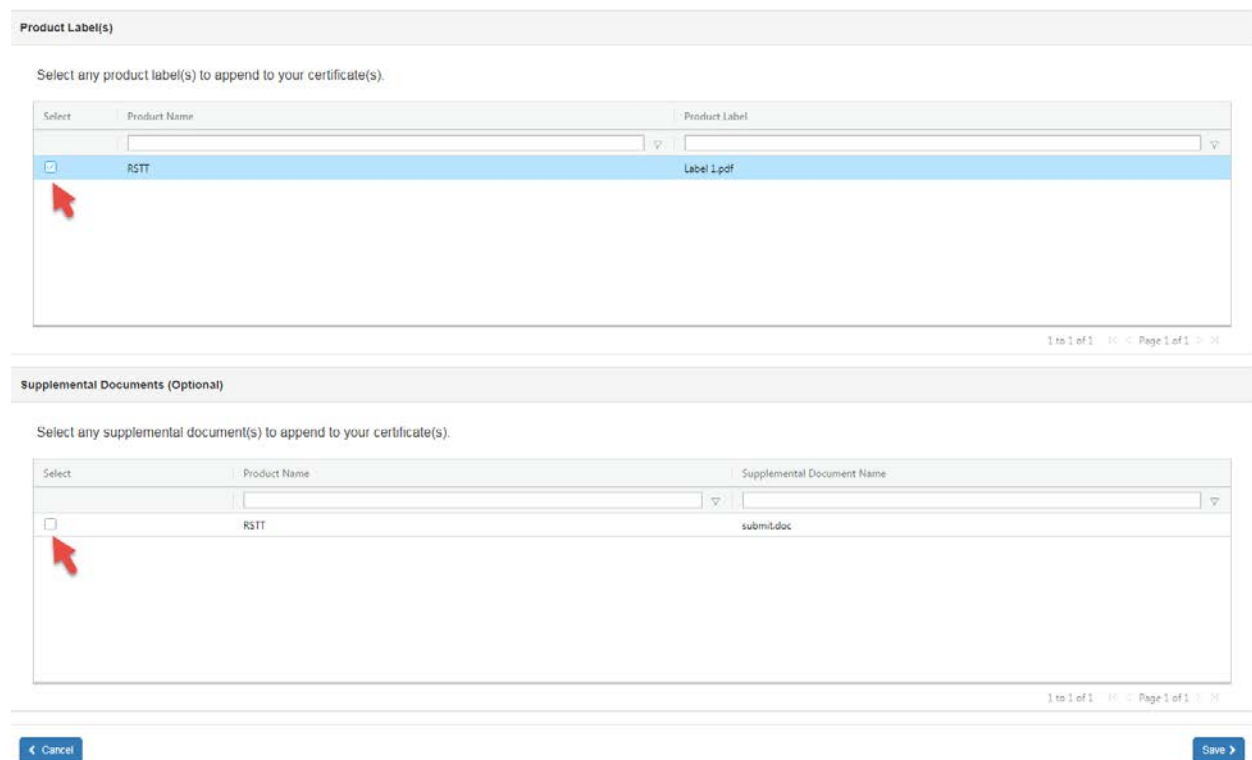
Also from this table, you can associate the labels and supplemental documents to your certificates by clicking on the **Document** (paper) icon.

**Figure 6.23: Associate labels and documents to Certificates**



When you click the **Document** icon, the system displays the Product Label(s) and Supplemental Document(s) screen. From here, you can indicate each label and supplemental document that you want to add to your certificate by clicking the requisite checkboxes. When you've made the associations, click the **Save** button.

**Figure 6.24: Associate labels and documents to Certificates**



**Step 22: Add Optional Remarks or Additional Information to appear on Certificate.**

From the Remarks (for CPP) or Additional Information (non-CPP certificates) section, you can add remarks or additional information to appear on the certificate, as shown in Figure 6.25. Remarks and Additional Information are optional.

**Figure 6.25: Add Optional Remarks to Certificate**

**Importing Country**

Choose the country, number of certificates, and then click the Add Country and Certificate(s) button. The name of the country will be printed on the certificate. All certificates for a given application will be identical, except for the unique certificate identifier, the country name, and if selected, product label and supplemental documentation. The minimum number of certificates for each country selected is 1. The total number of certificates cannot exceed 30 per application. Please click on the in the Actions column to indicate which documents you would like added to the Certificate.

Country:  Number of Certificates:

Show 10 entries

Actions	Country	Number of Certificates	Append Labels	Append Supplemental Docs
	ARMENIA	2	Yes	No

1 to 1 of 1 Page 1 of 1

---

**Remarks (Optional)**

Please add optional Remarks to appear on the Certificate. (Optional)

4000

**Step 23: View the Certificate Fees.**

On the **Certificate Fees** section, the system displays the fees for the requested total number of certificates.

**Figure 6.26: View Certificate Fees**

**Certificate Fees**

Section 801(a)(4)(B) of the Federal Food Drug and Cosmetic Act authorizes FDA to collect user fees for export certificates for animal food, drugs and devices. The fees are capped at \$175 per certificate. CVM charges \$175 for the first certificate, \$155 for the second certificate, and \$70 for each subsequent certificate.

First Certificate	(1) X \$175
Second Certificate	(1) X \$155
Subsequent Certificate(s)	(0) X \$70
<b>Total Fees</b>	<b>\$330</b>

Click **Next** when you finished viewing the **Certificate Fees** information.

**Step 24: Review.**

The system displays the **Review** section. This section allows you to review your data prior to submitting to the FDA. Review the data in each section to verify accuracy. If you need to change the data in any section, you can click the **Edit** button to the right of each section.

**Figure 6.27: Review Application Prior to Submission**

CVM eCATS Home > Edit Application > Review

Requestor Information | Facility Information | Product Information | Certificate Information | **Review** | Attestation

Form Approval: OMB No. 0910-0498  
OMB Form Expiration Date: 04/30/2012

**Section 5: Review**

Please review your application and edit information as necessary. To make changes to a section, select the Edit icon for that section.

Application Number	Test00147	Submission Date	
Certificate Type	Certificate of a Pharmaceutical Product (CPP)	Product Type	Approved Animal Drug
Application Status	Incomplete		

**Section 1: Requestor Information** Edit

Firm Name	Test, Inc.	Country / Area	UNITED STATES
First Name	Jane	Address Line 1	123 Test Drive
Middle Name (Optional)	J	Address Line 2	Ste 111
Last Name	Doe	Zip Code	20847
E-mail Address	Jane.Doe123@fda.hhs.gov	City	Rockville
Telephone	001-555-1112222-23232	State	Maryland

**Billing Information**

Is the Billing Name and Address the same as the Requestor Name and Address?  Yes

Firm Name	Test, Inc.	Address Line 1	123 Test Drive
First Name	Jane	Address Line 2	Ste 111
Middle Name (Optional)	J	Zip Code	20847
Last Name	Doe	City	Rockville
E-mail Address	Jane.Doe123@fda.hhs.gov	State	Maryland
Telephone	001-555-1112222-23232		
Firm Tax ID Code	34-3223423		

**Delivery Information**

**Step 25: Attestation.**

Click **Next** when you have reviewed your data for accuracy and are ready to submit your application.

The system displays the **Attestation** section. Review the attestation information and warning. Enter your name and title. Your title is optional. Then click the **I agree** button and then the **Submit** button to submit your application.

**Figure 6.28: Fill out Attestation Section**

CVM eCATS Home > Edit Application > Attestation

Requestor Information | Facility Information | Product Information | Certificate Information | Review | **Attestation**

**Section 6: Attestation**

Firm Name: Test, Inc.

As the responsible official or designee authorized to represent and act on behalf of the facility named immediately above, I hereby certify to the Food and Drug Administration (FDA) that the facility(ies) and the products identified on the application are to the best of my knowledge in substantial compliance with the Federal Food, Drug, and Cosmetic Act (the Act) and all applicable or pertinent regulations including the following:

- All facilities that appear on the application are currently registered and each facility has listed each of its products identified for export as required by Section 510 of the Act and 21 CFR Part 207 or 607;
- Each product(s) identified for export is legally manufactured within the United States and is the subject of a Biological License, NADA, or ANADA;
- Each product(s) identified is not subject of an open recall or the subject of any current enforcement action initiated by FDA;
- All manufacturers, contract manufacturers, and contract sterilizers involved in the manufacturing process have been identified on the application;
- The requesting facility and all facilities involved in the manufacturing process are operating in substantial compliance with Good Manufacturing Practices Regulation for the identified product(s); and
- Each product(s) identified for export is being exported from the United States.

Name  Title (Optional)

I agree

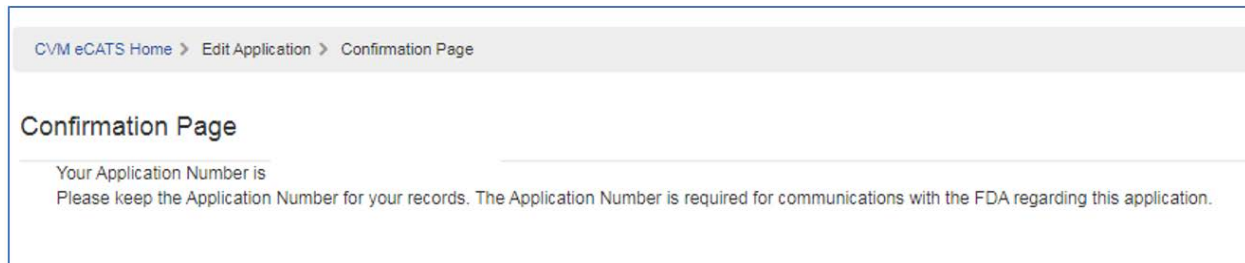
I hereby make this certification of compliance statement for FDA with full knowledge that the making or submission of false statements represent violations of the United States Code Title 18, Chapter 47, Section 1001. Penalties include up to \$250,000 in fines and up to five years imprisonment.

Previous | Cancel and Start Again | Submit

## Step 26: Submission Confirmation.

After you submitted the application, the system displays a Confirmation message with your application number, which is required for any communications with the FDA regarding the application.

**Figure 6.29: View Confirmation and Application Number**



When you return to the Dashboard, your application will be displayed with a status of “Submitted.”

As part of the confirmation, the system also sends you an email notification to inform you of FDA receipt of your submission.

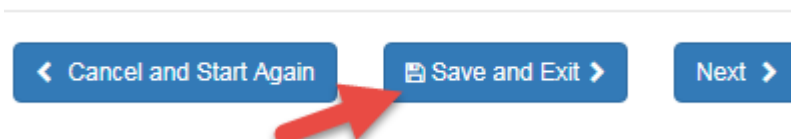
## 7 Saving and Editing an Application

You may “save” your application prior to submission. You may also “save” your application if it is in a “Return for Action” status. Saving the application allows you to return to the application later to complete your entries and then to submit the application.

### Step 1: Click Save and Exit button

On each page of the workflow, with the exception of the first page and the Attestation page, you can save your application by clicking on the **Save and Exit** button. You may also cancel your work and start again by clicking the **Cancel and Start Again** button.

**Figure 7.1: Saving an Application**























### Step 2: Click Edit Application button

If you saved your application prior to submission, the system saves the application will a status of “Incomplete”. You can view the incomplete application on the Dashboard. “Incomplete” means the application has not been submitted.

**Important:** You must submit your application within 30 days of your first save, or the application will be automatically canceled. You will not be able to edit or work with a cancelled application.

To edit your application, locate it on the Dashboard, and click the **Edit Application** button (pencil). You can then update and submit your application to the FDA, or save your application again for later edits.

**Figure 7.2: Edit an Application**

Actions	Application No	Certificate Type	Product Type
   	<input type="text"/>	<input type="text"/>	<input type="text"/>
   	TEST000145	Certificate of Free Sale (COF...	Approved Animal Drug
   	TEST000144	Certificate to Foreign Gover...	Type A Medicated Article
   	TEST000147	Certificate of a Pharmaceuti...	Approved Animal Drug
   	TEST000146	Certificate of Exportability (...)	Animal Food





















## 8 Viewing an Application

You can “view” your application from the Dashboard at any time.

### Step 1: Click the View button

You can view your application from the Dashboard by clicking the **View Application** button (Eye). You cannot modify your application when you’re in View mode.

**Figure 8.1: View an Application**

Actions	Application No	Certificate Type	Product Type
   	<input type="text"/>	<input type="text"/>	<input type="text"/>
   	TEST000145	Certificate of Free Sale (COF...	Approved Animal Drug
   	TEST000144	Certificate to Foreign Gover...	Type A Medicated Article
   	TEST000147	Certificate of a Pharmaceuti...	Approved Animal Drug
   	TEST000146	Certificate of Exportability (...)	Animal Food

















## 9 Canceling an Application

You can “cancel” your application from the Dashboard when the status is incomplete or Return-for-Action.

### Step 1: Click the Cancel button

Locate the application that you want to cancel from the Dashboard and click the **Cancel Application** button (Red X).

**Figure 9.1: Cancel an Application**

Actions	Application No	Certificate Type	Product Type
   	TEST000145	Certificate of Free Sale (COF...	Approved Animal Drug
   	TEST000144	Certificate to Foreign Gover...	Type A Medicated Article
   	TEST000147	Certificate of a Pharmaceuti...	Approved Animal Drug
   	TEST000146	Certificate of Exportability (...)	Animal Food

The system displays the Confirmation message for you to proceed. Click **OK** if you want to continue with the cancellation, or click **Go Back** if you wish to back out of the cancel action.

**Figure 9.2: Acknowledge Cancel Warning**

### Cancel Application

×

---

WARNING: Are you sure you want to cancel this application?

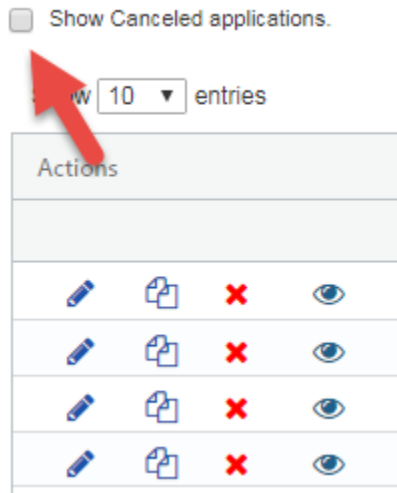
Ok
Go Back

### Step 2: View Canceled Applications.

By default, the system does not show your cancelled applications. If you want to view the canceled applications on your Dashboard, click the **Show canceled applications** checkbox.



**Figure 9.3: View Canceled Applications on Dashboard**



## 10 Cloning an Application

To save time, you can “clone” your existing application from the Dashboard. This allows you to “copy” an existing application and make modifications in support of a new application.

### Step 1: Click the Clone button

You can clone your application from the Dashboard by clicking the **Clone Application** button (Copy document). All data from the existing application is copied into the new application except for the Attestation section. You can then edit the new application, fill in the Attestation data, and submit as a new application.

**Note:** In Clone mode, you cannot change the Certificate Type or Product Type.

**Figure 10.1: Clone an Application**

Actions	Application No	Certificate Type	Product Type
	<input type="text"/>	<input type="text"/>	<input type="text"/>
	TEST000145	Certificate of Free Sale (COF...	Approved Animal Drug
	TEST000144	Certificate to Foreign Gover...	Type A Medicated Article
	TEST000147	Certificate of a Pharmaceuti...	Approved Animal Drug
	TEST000146	Certificate of Exportability (...)	Animal Food

**Note:** You cannot “clone” an application in Rejected or Canceled status. You also cannot clone an application immediately after submission, until the system has completed its virus scanning on the uploaded documents.

## Step 2: View Clone Source in View Application

After you submitted a cloned application, you can see the “source” application from which this application was cloned. The system displays this information when you open the application in “View Application” mode, with values in the “Cloned From” and “Clone Date”.

**Figure 10.2: View Clone Details**

Section 5: Review			
Please review your application and edit information as necessary. To make changes to a section, select the Edit icon for that section.			
Application Number	Certificate of Free Sale (COFS)	Submission Date	04/08/2020
Certificate Type	Pending Virus Scan	Product Type	Approved Animal Drug
Application Status	04/08/2020	Cloned From	764000145
Cloned Date			

## 11 Responding to Return for Action

The FDA Reviewer may return the application to you for modification.

### Step 1: Review the email notification

If your application is incomplete, the system sends you an email notification informing you that your application has been “Returned for Action”. Review the notification to understand what change(s) you need to make to your application.

### Step 2: Select the application on the Dashboard

Locate on the Dashboard the application that has the status of “Returned for Action”. Click the **Modify Application** button.

### Step 3: Make the requested change and submit

Make the required change described in your email notification. Then resubmit the application after filling out the Attestation section.

**Note:** You must complete and submit your return-for-action application within 3 business days of receipt. A return-for-action application is automatically canceled if it is not corrected and resubmitted within 3 business days from the time it is returned for action by the FDA reviewer to the applicant.

## 12 Printing or Obtaining the Certificate

If your application is approved, the system sends you an email notification to inform you of the approval status. You can view and print your certificate using the following steps:

- a) Log on to FURLS and access the CVM eCATS function
- b) On the Dashboard, locate the approved application. You can sort the listing of the dashboard in ascending order on the “Status” column to display applications with Approved status to be on top of the listing
- c) Click on the **Printer** icon of the application that you want to print the certificate
- d) Click on **Save** if you want to save the certificate on your file directory; or click on **Open** if you want to view the certificate
- e) Depending on whether you saved or viewed the certificate, you can then print it on your network or locally attached printer.

**Note:** For CPP certificates, if you have uploaded the FedEx/UPS label for mailing, CVM uses that to mail the printed certificate to you. As such, the system does not display the **Printer** icon next to the approved CPP application.

## 13 Obtaining and Responding to Notifications

The system provides automated notifications to your email address whenever:

- You save an application to draft prior to submittal.
- You submit your application.
- You cancel your application.
- You modify and re-submit your application based on a Return for Action request from the FDA.
- Your application is approved by the FDA.
- Your application is cancelled by the FDA.
- Your application is rejected by the FDA.
- Your application is cancelled because it has been in Incomplete status for more than 30 days.
- Your application is cancelled because it has been in Return for Action status for more than 3 business days.

## 14 Validating the Authenticity of CVM-Issued Export Certificate

As a foreign government official (FGO), you can validate the authenticity of the CVM-issued certificate using the following steps:

- a) Navigate to the FDA Export Certificate Validation web site  
[www.access.fda.gov/fecv/verifyCertificate](http://www.access.fda.gov/fecv/verifyCertificate)
- b) Enter **at least two** of the following certificate identifying information:
  - Certificate Number
  - Facility Name
  - Certificate Expiration Date (mm/dd/yyyy)
  - Product Name
- c) Click the **Search** button

If the provided information is correct, the system displays summary information about the certificate(s) to include the following in table format:

- Action (Eye icon)
- Certificate number
- Certificate type
- Facility name
- Certificate Expiration date
- Product name
- Importing Country

By clicking on the **Eye** icon, the systems displays full information for the selected certificate.

Using the data displayed, you can verify against the certificate that a US Exporter has provided to you.